



ATLANTA, GA  
OCTOBER 11-14

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# UNLEASH THE POWER OF LIMITLESS CONNECTIVITY



2021 Fall  
Technical Forum

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Wireline Access Network

# Improving Upstream Efficiency

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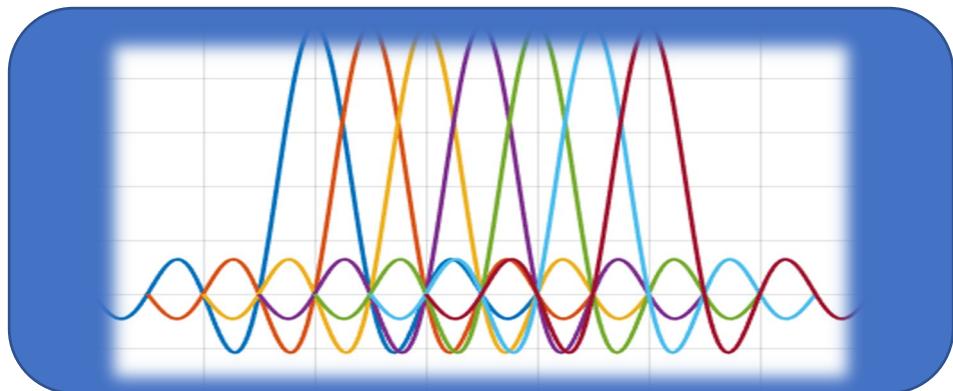
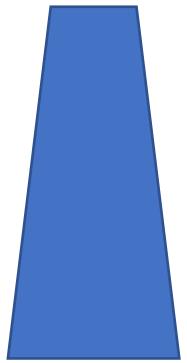
Distinguished Technologist  
CableLabs



VIRTUAL EXPERIENCE  
OCTOBER 11-14

# Upstream transition from SC-QAM to OFDMA

- 200/400/800 kHz → 1.6/3.2/6.4 MHz → ~ 10/30/60/95 MHz
- QPSK → 64 QAM → 1024 QAM (optionally 2048/4096)



SC-QAM

OFDMA

## Upstream

- Channel Parameters (20+)
- Burst parameters (20+)

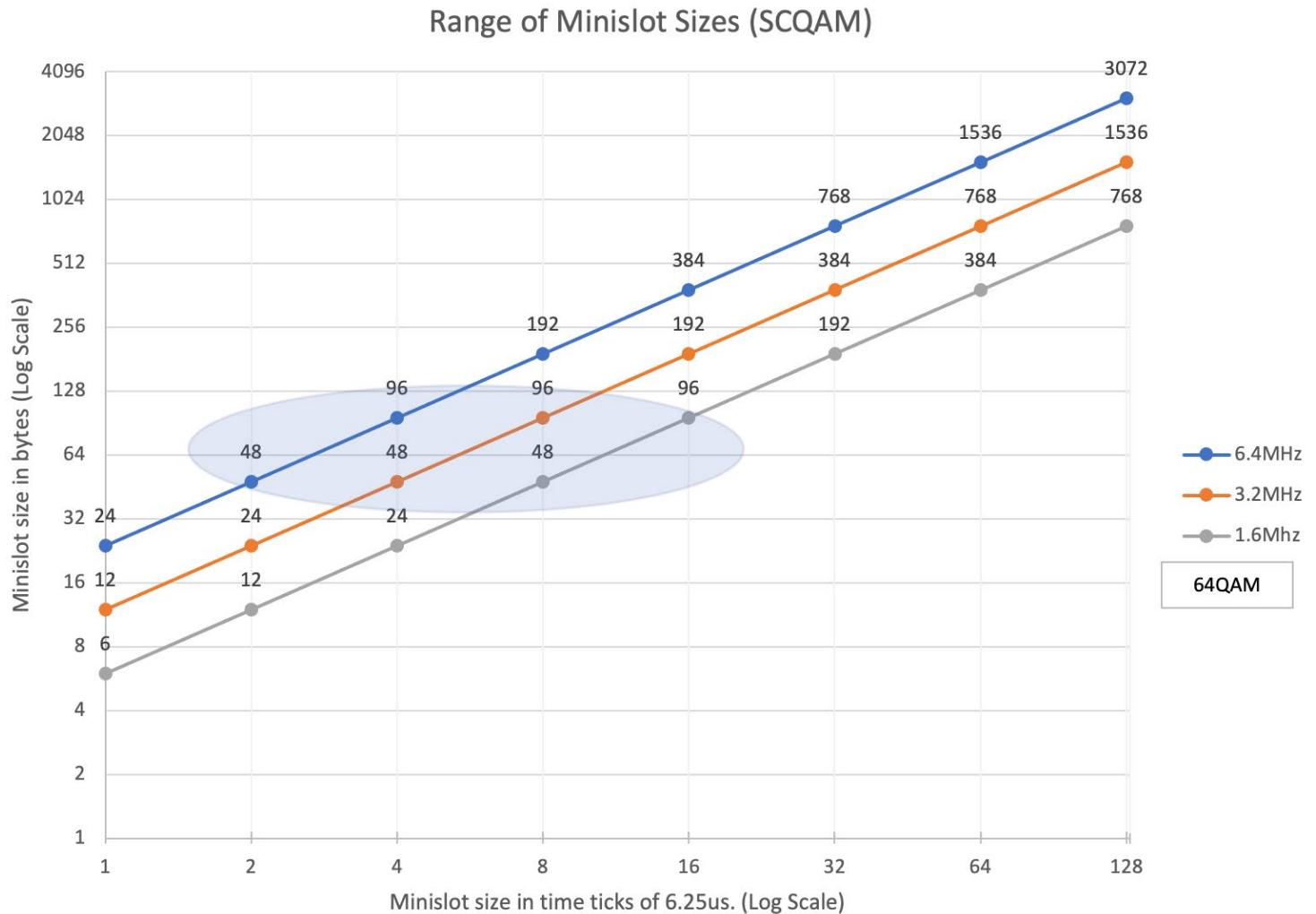
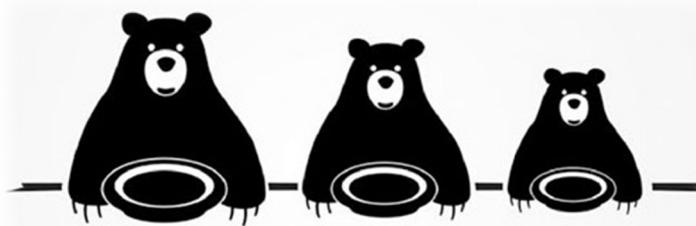


- Lots of settings to optimize
- Default out of the box- not great

# Minislot sizes

Choose sizes wisely

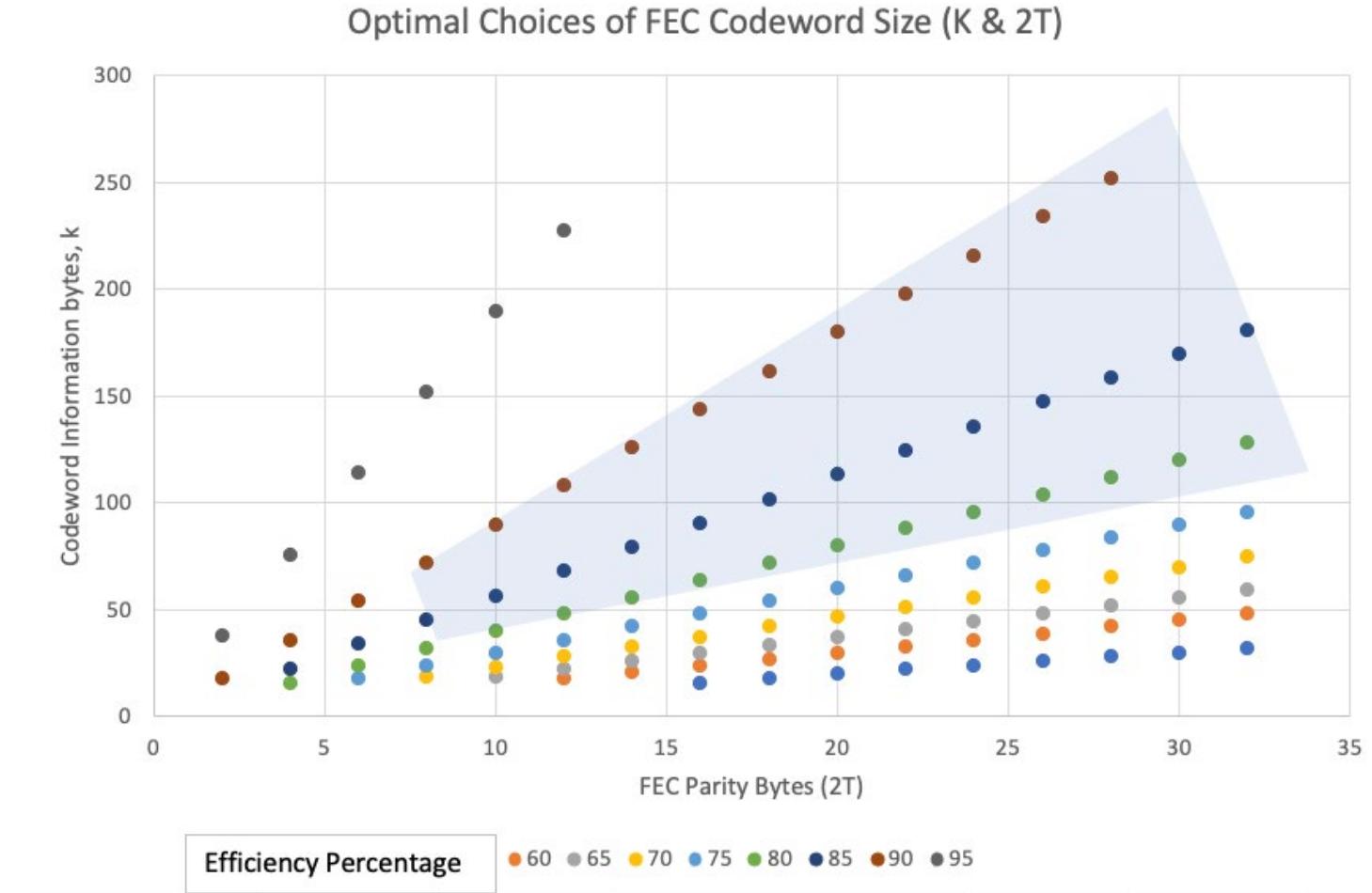
- Grant Overhead
- US packet sizes



# FEC Choices

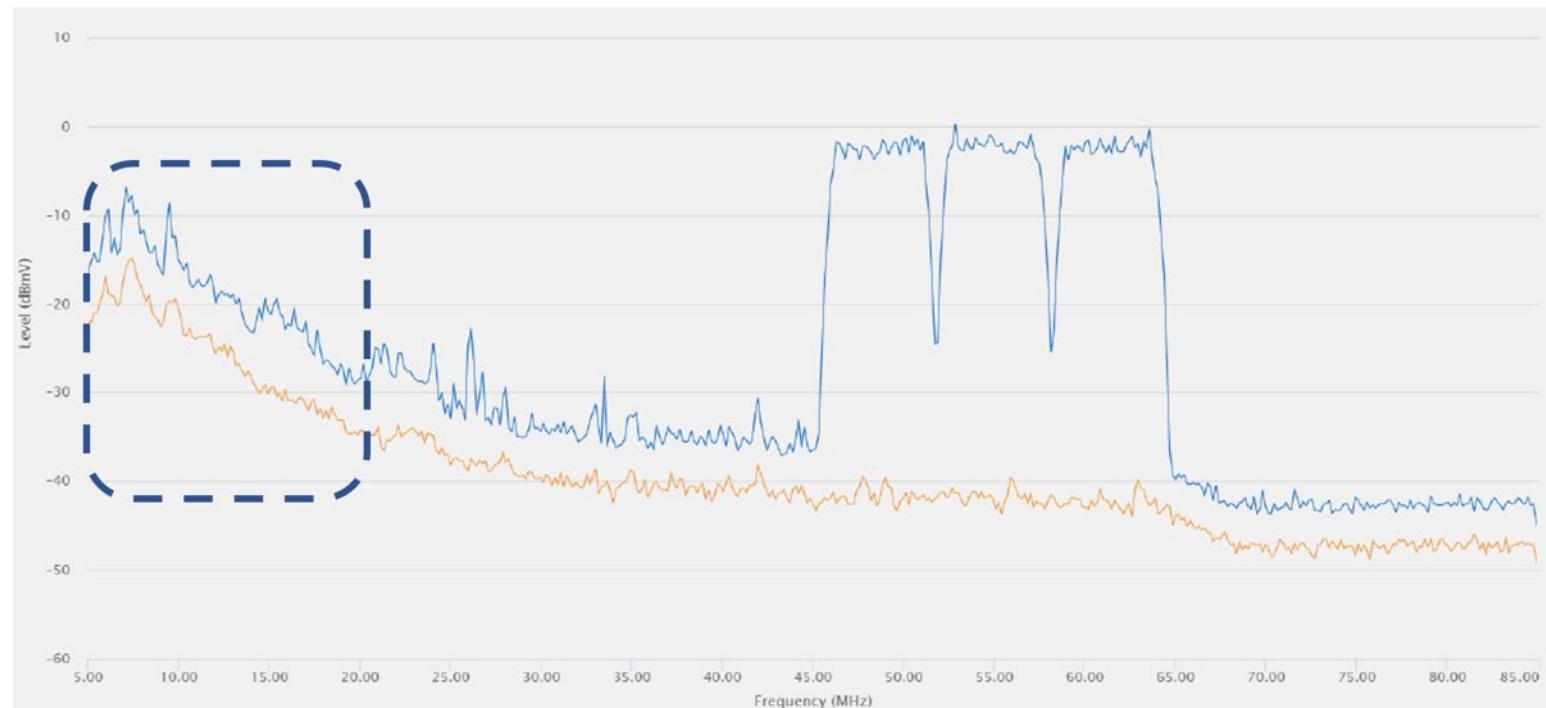
Choose sizes appropriate to plant

- k+2T
- Good range
  - CW efficiency 75-90%



# Channel Location

Noisy Spectrum below 20 MHz



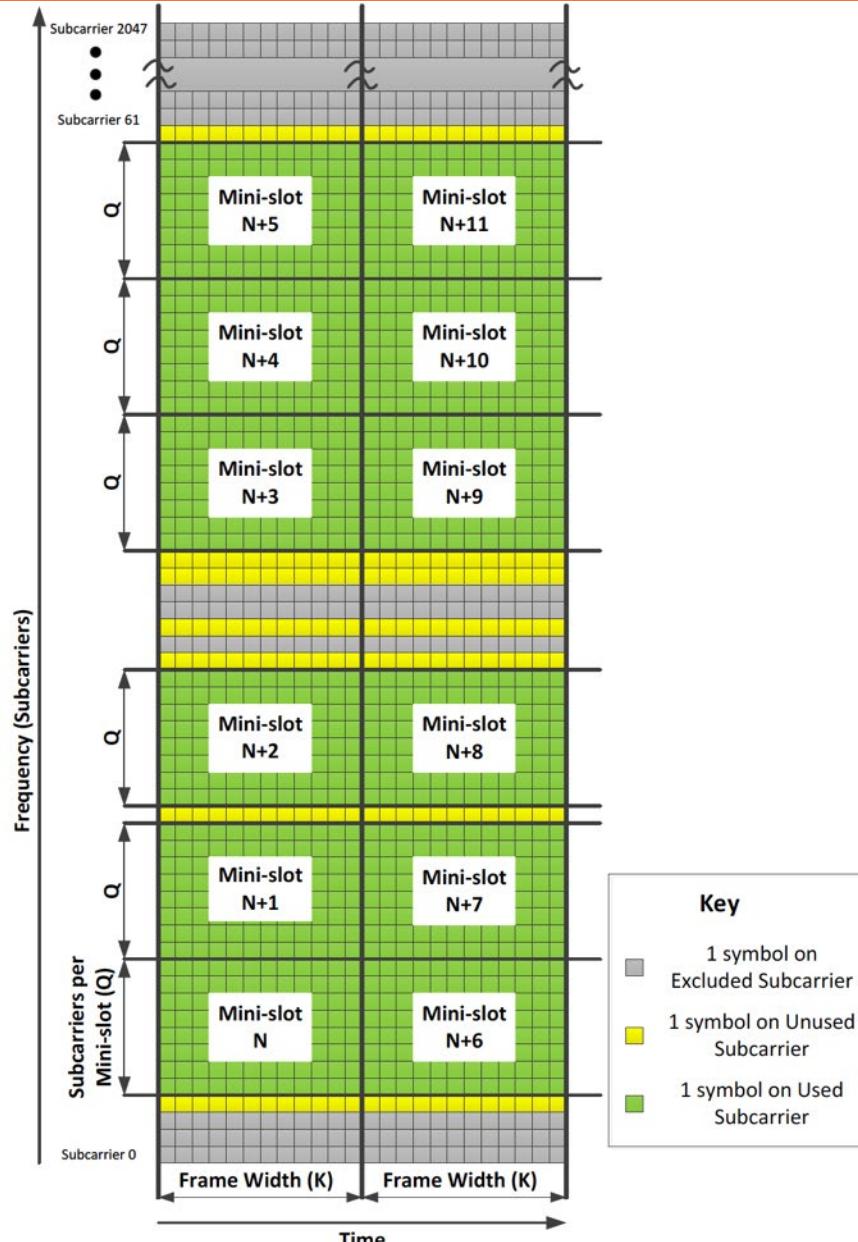
# Number of Symbols in a frame (K)

With 20  $\mu$ s FFT duration (2K FFT)

- $K_{max} = 18/24/36$  (for  $BW \geq 72$  MHz,  $48$  MHz  $\leq BW < 72$  MHz,  $BW < 48$  MHz)

With 40  $\mu$ s FFT duration (4K FFT)

- $K_{max} = 9/12/18$  (for  $BW \geq 72$  MHz,  $48$  MHz  $\leq BW < 72$  MHz,  $BW < 48$  MHz)
- 3% to 5 % increase in throughput with increasing K
  - 6 to 9/18 (75MHz channels) or
  - 6 to 18/36 (for smaller 32 MHz channels)
- Avoid gaps in the channel if possible
  - Edge minislots vs Body minislots



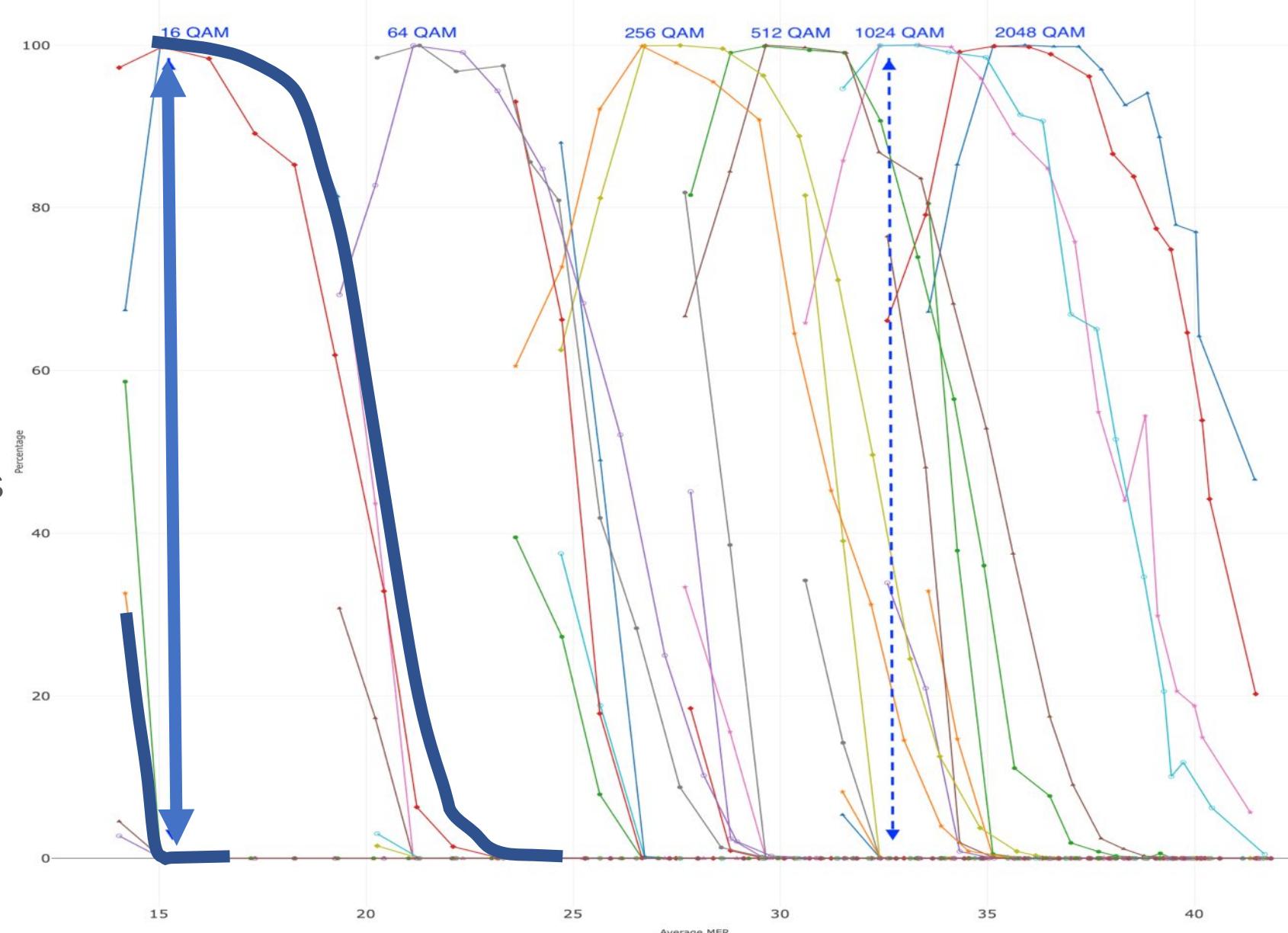
# FEC & Modulation Orders

For each Mod Order

- As noise increases
  - Correctable CWs decrease
  - Uncorrectable increases after correctable hits 100%

Managing Profiles

- Get a PMA solution going



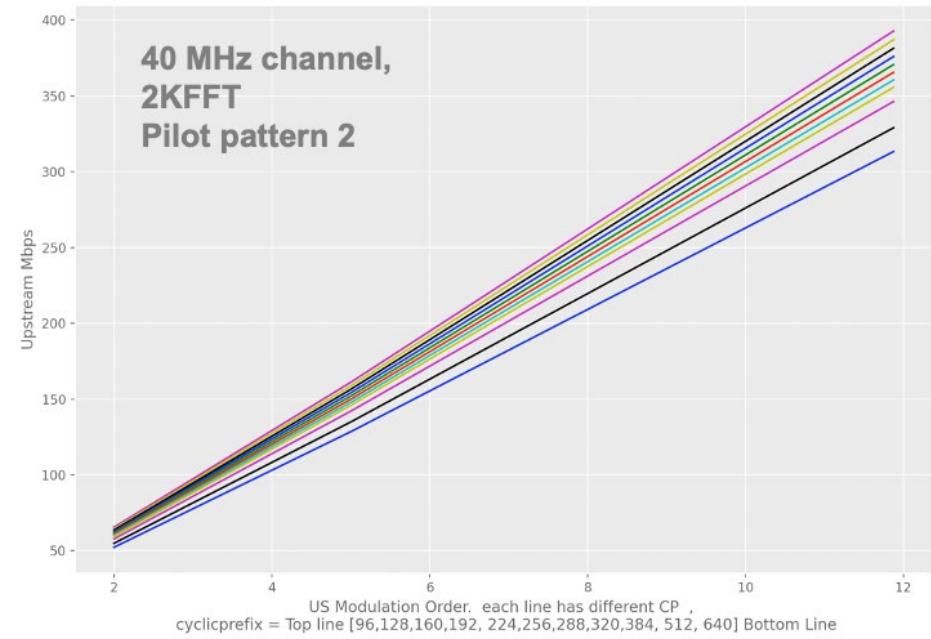
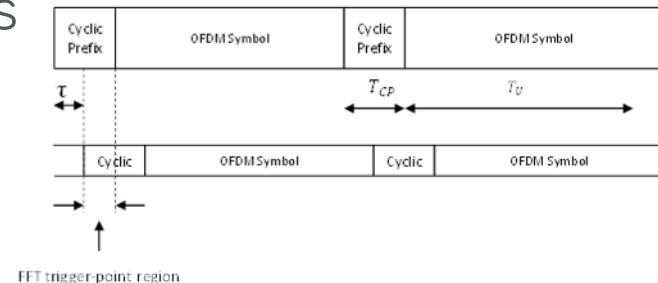
# Cyclic Prefix (CP) & Roll-off Period (RP)

CP accommodates for

- Group delay (before the main tap)
- Longest Significant Echo (after the tap)

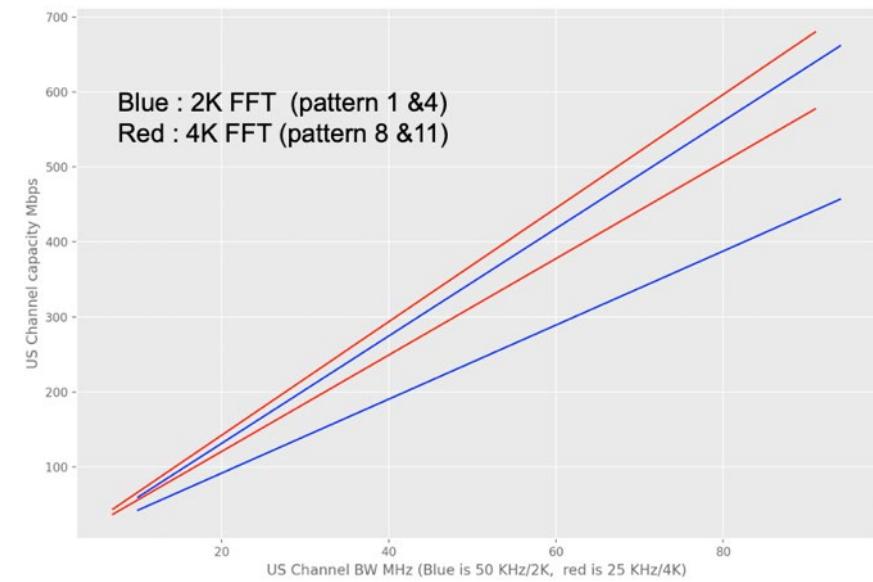
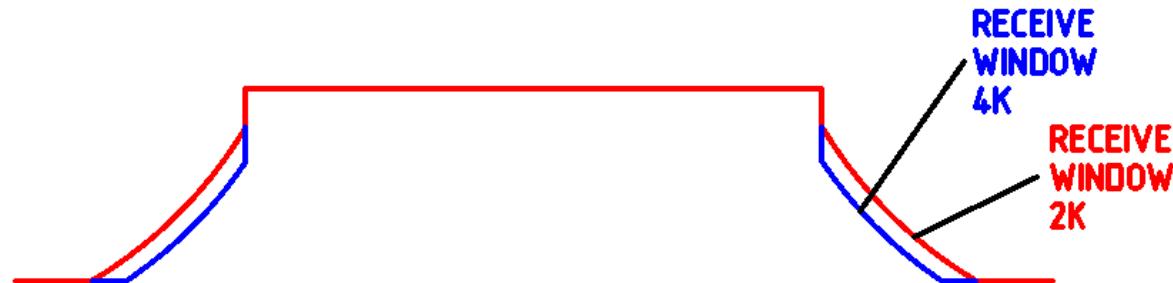
Roll-off period

- Embedded into the CP time
- CP should be longer than the longest echo...
- Measure the echoes



## 2k FFT(50 kHz,20μs) vs. 4k FFT (25 kHz,40μs)

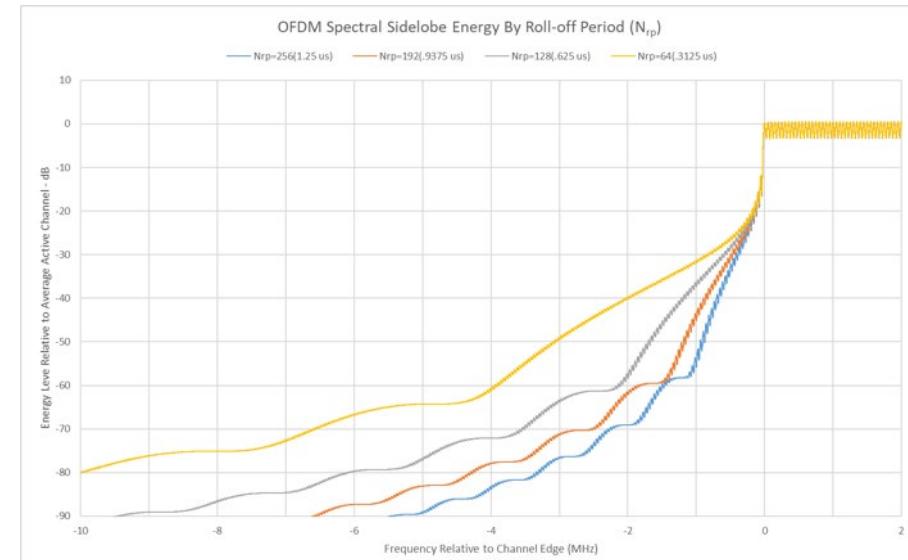
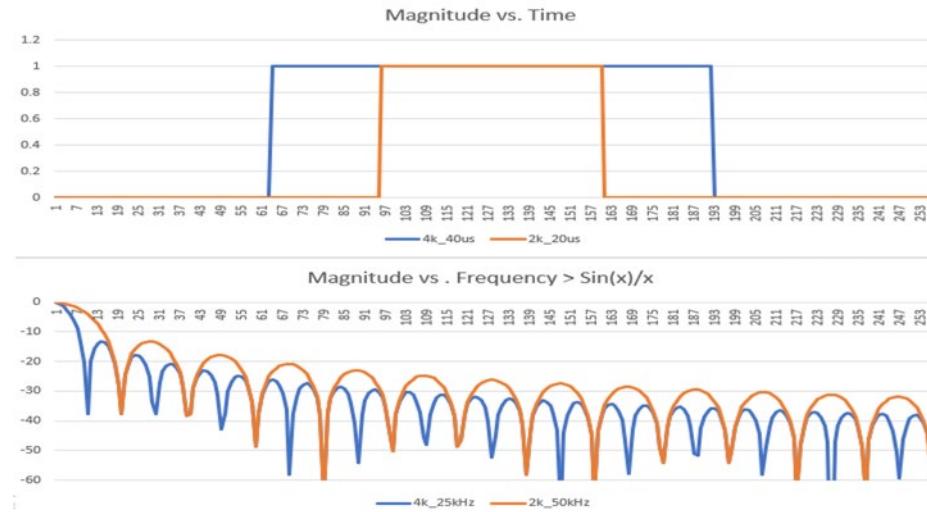
- 4k (Pro): Less CP overhead
- 4k (Con) : More sensitive to phase noise (at CMTS/CM), at higher SNR levels
- 2k (Con) more vulnerable to interference in an adj band.
- Side band lobes: 2k is twice as susceptible because each sidelobe is twice as wide, relative to 4k
- 25 KHz Subcarrier spacing better than 50 KHz



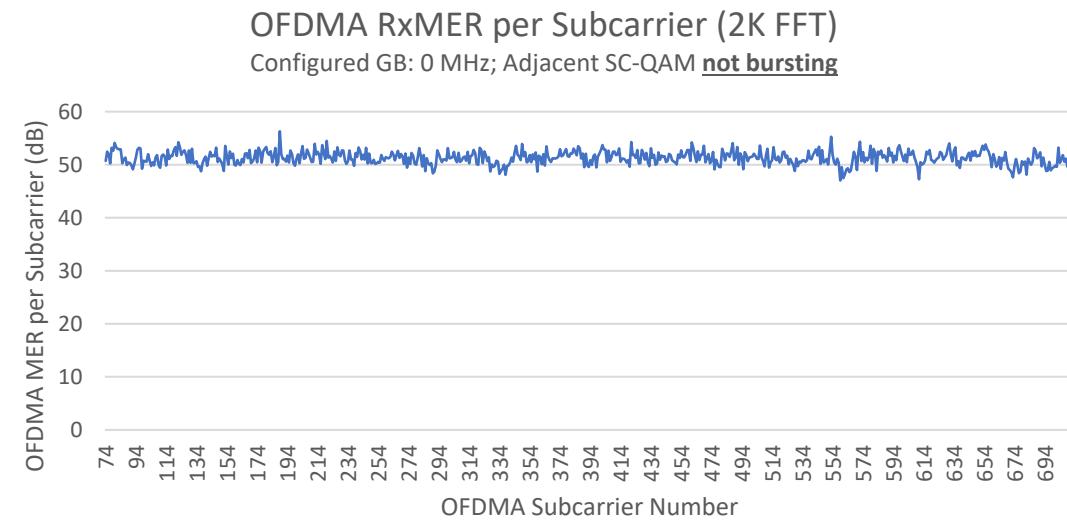
# Upstream Interference Analysis

OFDMA protection depends on Receive Spectral Window and relative channel placement, and 2K vs 4K

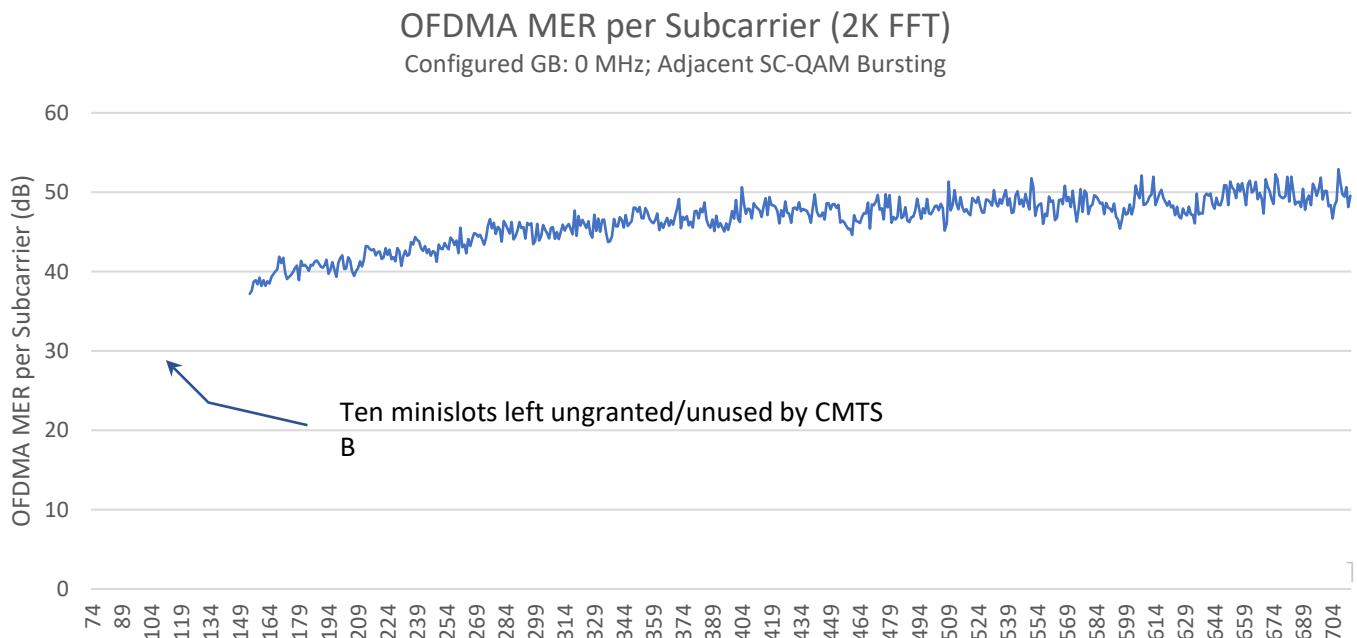
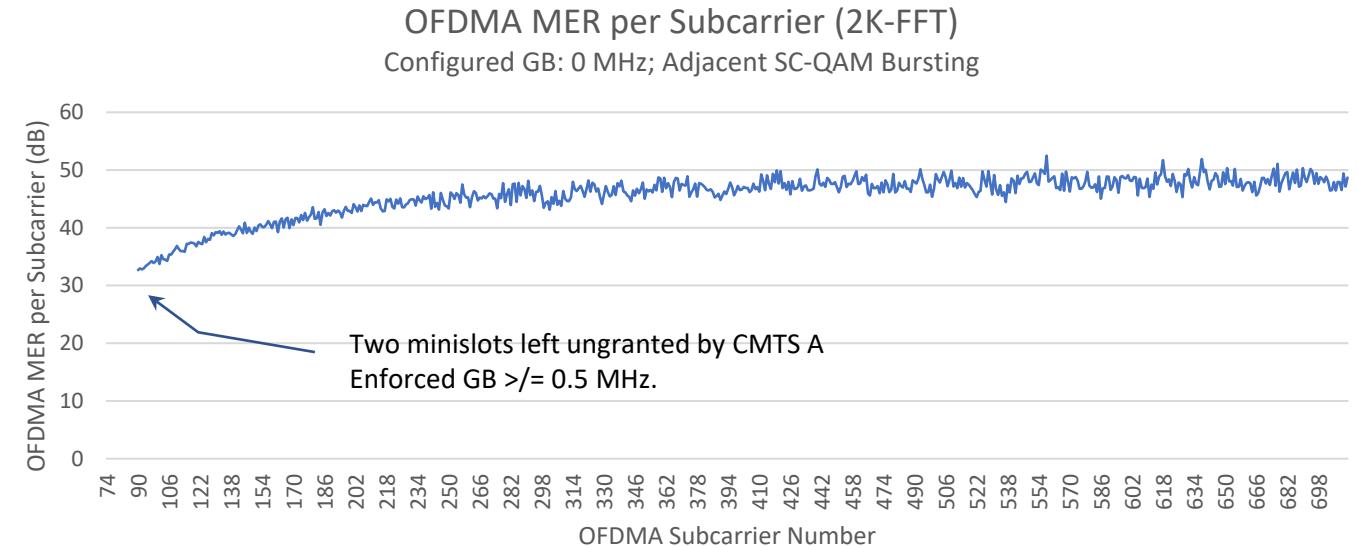
SC-QAM protection depends on OFDMA Roll-off period configuration and relative channel placement



## Guard Bands



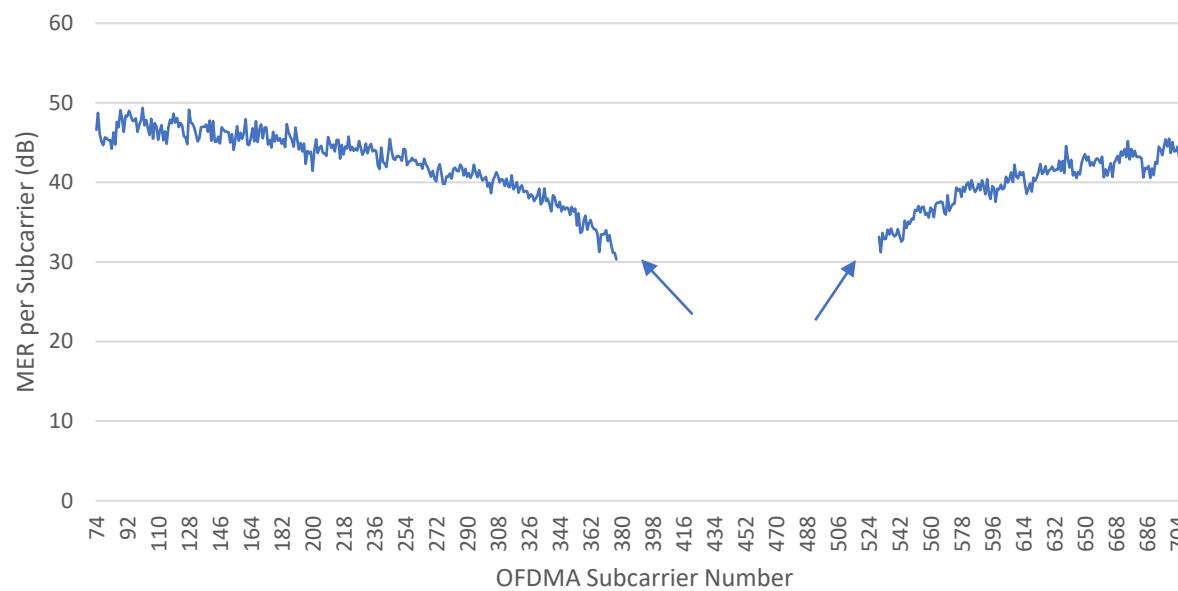
Add Guard Band if you have the spectrum



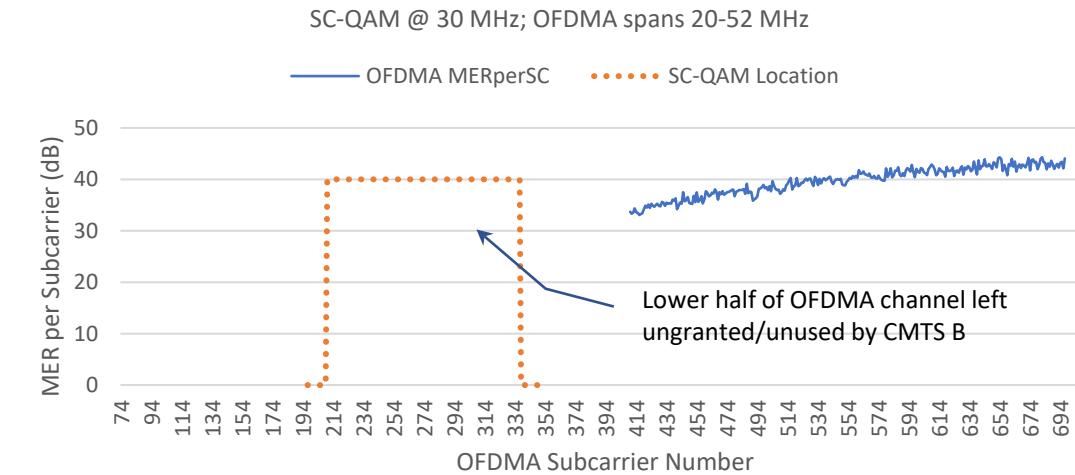
# TaFDM

Location of overlap, CMTS rules, un-used minislots lowers efficiency

OFDMA MERperSC with TaFDM (6.4 MHz SC-QAM @ 50 MHz)

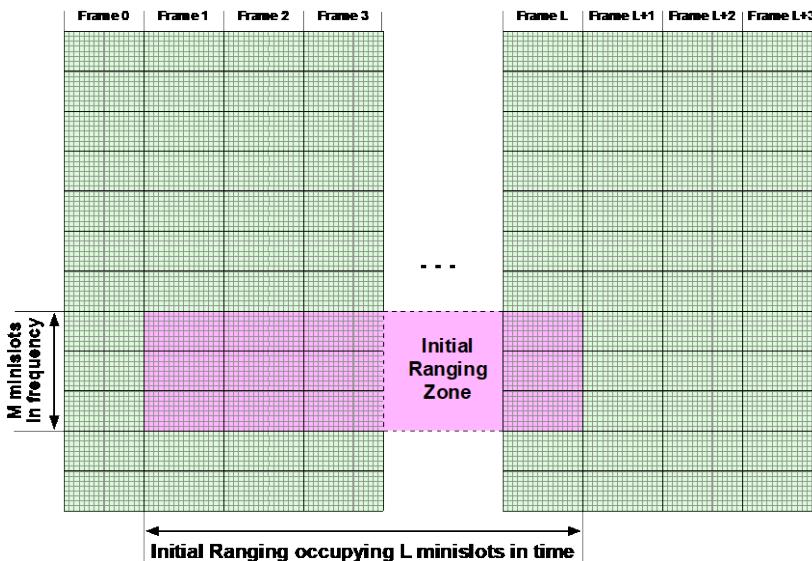


OFDM MER per Subcarrier - Overlapping (TaFDM)



# Multiple DOCSIS System Considerations

Location of the Ranging Zone



Contention vs dedicated  
Piggybacking  
Profile Management (IUC definition/changes)  
Channel conditions / PNM  
US Partial Service algorithms  
Service Flow config (e.g. Max Burst)  
Upstream stability / processes

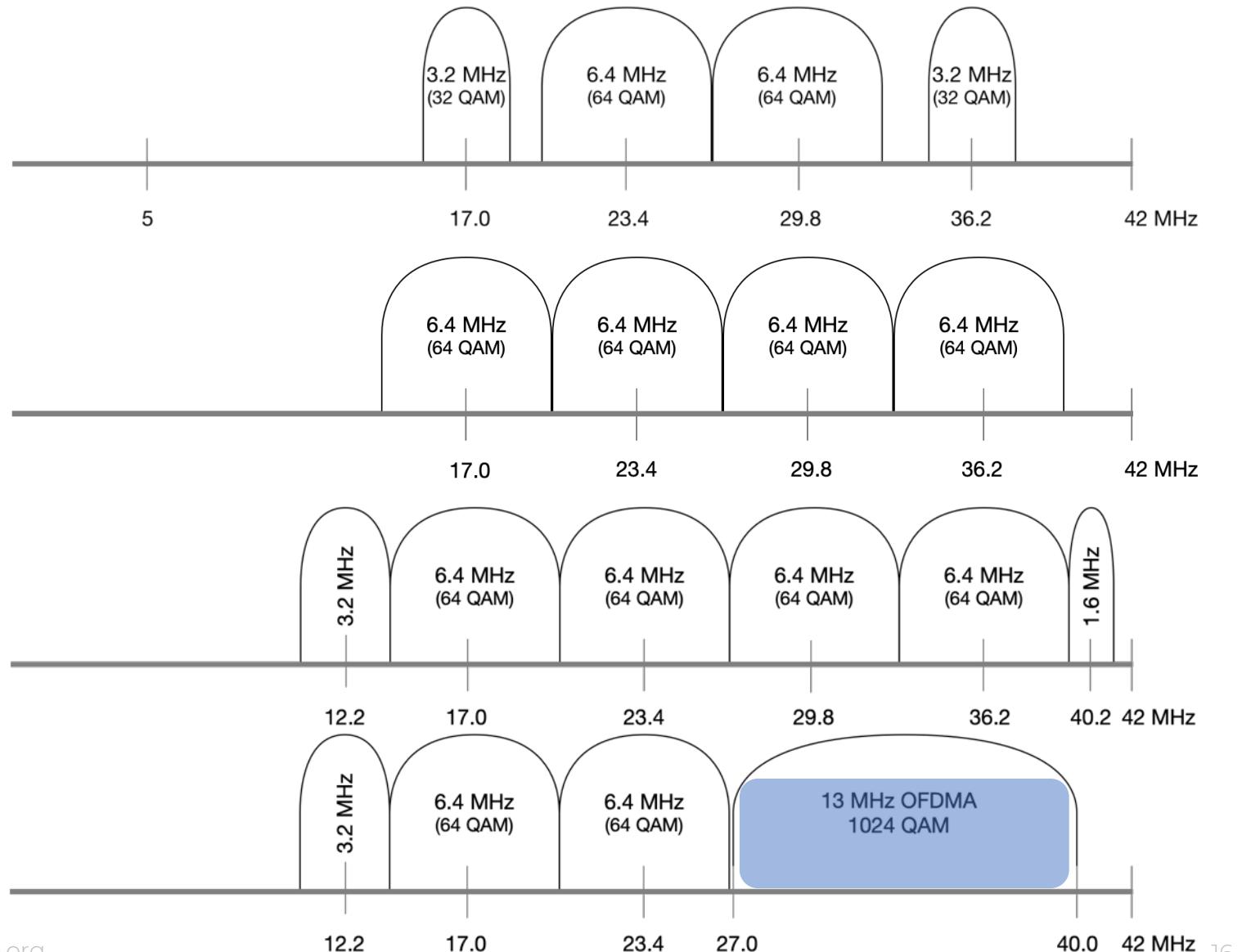


## Upstream Split options



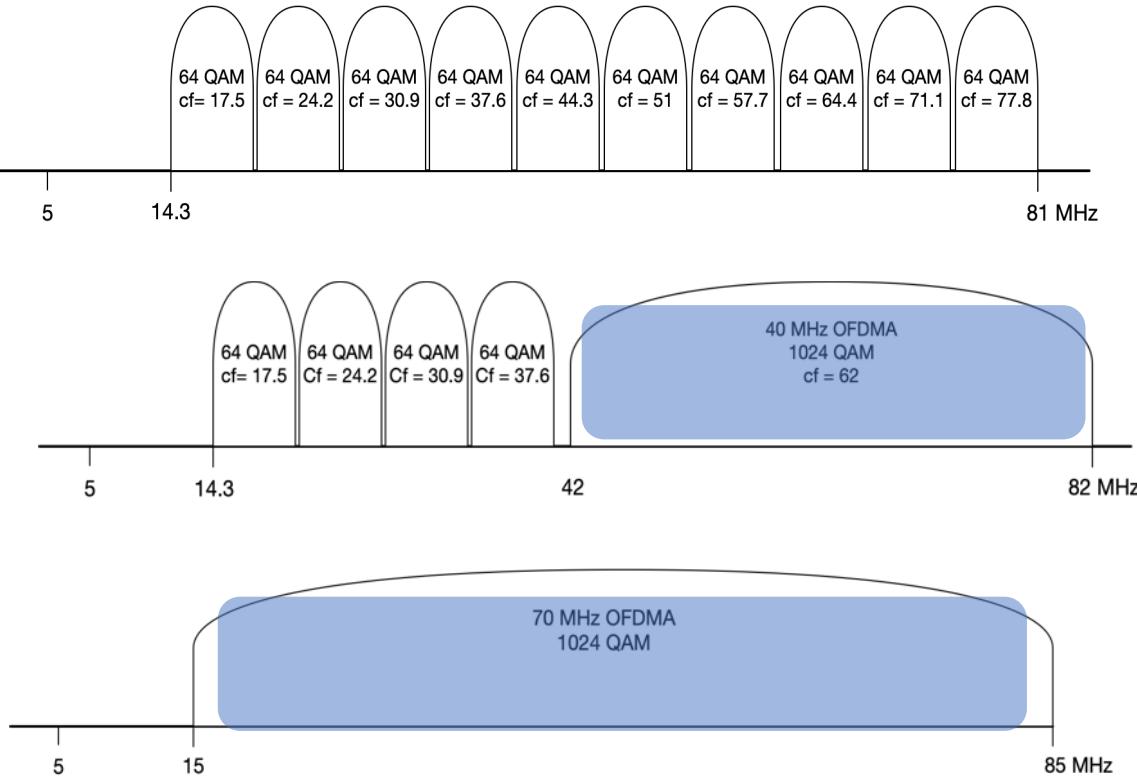
## Low Split options

50 Mbps to ~160 Mbps



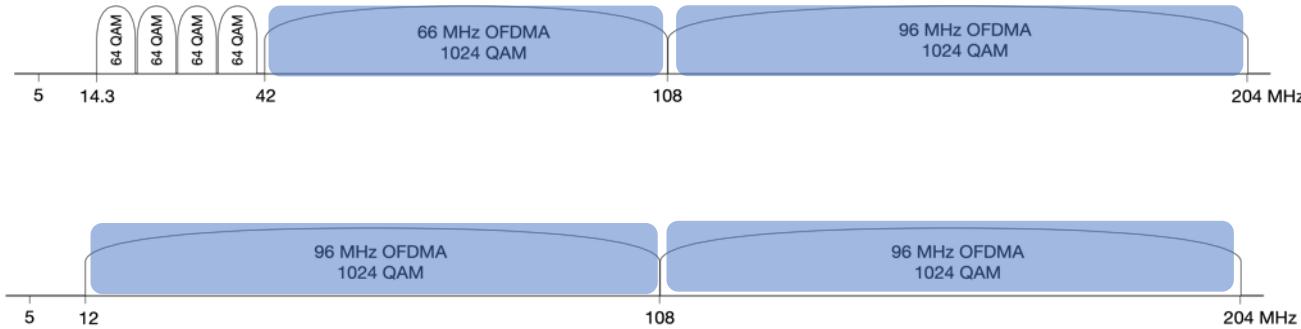
## Mid Split

250 Mbps to ~525 Mbps



## High Split

1.3 Gbps to ~1.7 Gbps



# Mindful optimization of Upstream is needed

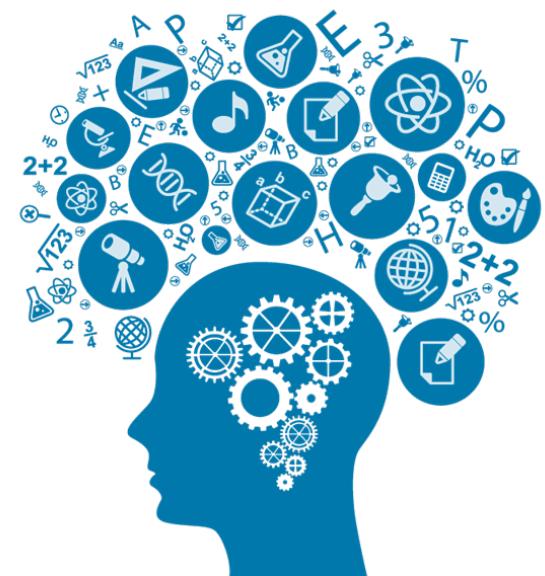
SC-QAM & OFDMA

- Channel location, Channel Parameters (frame size, CP/RP, 4k/2k FFT)
- Guard bands, Profiles, Adjacent Channel Interference, Ranging zone

More Spectrum

- Mid-split/high split with OFDMA for higher speeds

Please read the paper !





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# Thank You!

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